

Appendix B

Risk Assessment of Full Audit Universe
Sorted by risk level

Audit	Dept	Financial Materiality x 3	System Stability x 2	Internal Control x 3	Last Audited		
					EBC	LDC	
Business Continuity Planning and Disaster Recovery	Central Systems	5	5	5	2016	2016	40
Commercial Ventures	Central Systems	5	5	5			40
Housing Software	Computer Audits	5	5	5			40
RIPA	Central Systems	5	5	5			40
Leaseholder Management, service changes and repairs recharges	Housing	5	5	5	2014		40
Health and Safety Compliance	Housing	5	3	5	2016		36
Housing Benefit Software	Computer Audits	5	4	3	2016		32
Contracts/Procurement	Central Systems	5	2	4	2018		31
Events	Tourism, Events, Theatres and Sports	5	2	4	2015		31
Sheltered Housing	Housing	5	5	2	2017		31
Tenancy Management (including succession and mutual exchanges)	Housing	4	2	5	2014		31
HEDP	Housing	3	3	5	2016		30
Homelessness/Temp Accom/Housing strategy	Housing	4	4	3	2016	2010	29
Internet/Intranet/Telephone Payments	Central Systems	5	1	4	2016		29
Catering	Tourism	4	4	3	2015		29
Housing Management inc EHL contract	Housing	4	4	3	2013	2015	29
Implementation of New Systems	Computer Audits	3	5	3		2014	28
IT Security and Networks	Computer Audits	3	5	3		2015	28
Theatres	Tourism	5	2	3	2013		28
Buildings Maintenance	Central Systems	3	3	4	2017	2012	27
Car Parking inc parking permits	Customer Services	3	3	4	2018		27

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Health and Safety	Central Systems	4	3	3	2013	2016	27
Records Management	Central Systems	3	3	4	2014	2016	27
Security of Data Movement	Computer Audits	4	3	3	2017	2017	27
Telephones	Central Systems	4	3	3	2015	2002	27
Housing Repairs	Housing	3	3	4		2015	27
Right to Buy	Housing	4	3	3	2016	2016	27
Voids Management	Housing	5	3	2	2011		27
Change Controls	Computer Audits	3	4	3	2017	2013	26
Commercial properties (Rent, lease and licence)	Customer Services	4	4	2	2018	2018	26
Internet/Intranet Controls	Computer Audits	3	4	3	2015	2013	26
Open Spaces	Customer Services	4	2	3	2015		25
Redoubt	Tourism	5	2	2	2014		25
Data Protection	Central Systems	5	3	1		2011	24
GIS System and LLPG	Computer Audits	3	3	3	2015		24
Personnel, Recruitment and Training	Central Systems	3	3	3	2014		24
Planning System	Computer Audits	3	3	3	2015		24
Prevent and Protect	Central Systems	2	3	4	2018	2018	24
Project Management	Central Systems	3	3	3	2014	2011	24
Rent and Deposit Loans	Housing	3	3	3	2016		24
Box Office Computer System	Computer Audits	5	3	1	2017		24
Aids and Adaptations	Housing	4	3	2	2011		24
Allocations	Housing	4	3	2	2012		24
Cyclical Management	Housing	5	3	1	2011		24
Housing Estate Management	Housing	4	3	2	2019		24
Waste and Recycling Services	Customer Services	2	4	3	2015	2013	23
Building Control	Customer Services	3	2	3		2015	22
Cafi - Purchasing	Computer Audits	5	2	1	2013		22
Cafi - Creditors	Computer Audits	4	2	2	2013		22
Cafi - Debtors	Computer Audits	5	2	1	2017		22
Cafi - General Ledger	Computer Audits	5	2	1	2017		22
Cafi Bank Reconciliations	Computer Audits	5	2	1	2016		22
Capital Programme	Central Systems	5	2	1	2016		22

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Cemeteries and crematorium	Customer Services	4	2	2	2018	2016	22
Leisure Trusts	Tourism, Events, Theatres and Sports	3	2	3	2016	2016	22
Planning	Customer Services	3	2	3	2016	2014	22
Software Compliance	Computer Audits	3	2	3	2015		22
Conferences and Group Travel	Tourism	3	2	3	2015		22
Seafront Services	Tourism	2	2	4	2015		22
Access to Information	Central Systems	3	3	2	2016		21
Civil Contingency	Central Systems	3	3	2	2014		21
Community Grants	Customer Services	4	3	1		2014	21
Customer Contact Centre	Customer Services	2	3	3	2015		21
Members Allowances and Civic Expenses	Central Systems	3	3	2	2015	2010	21
Payroll Computer System	Computer Audits	3	3	2	2015		21
Performance Management/Data Quality	Performance and Management	3	3	2	2016		21
Private Sector Housing (HMO licensing, DFGs and private housing grants)	Housing	3	3	2	2018	2014	21
Public Services Network	Computer Audits	3	3	2	2015		21
Environmental Health (Food, pest control and public health burial)	Customer Services	4	1	2	2015		20
Postal Services	Central Systems	3	4	1	2013		20
Coastal Protection	Central Systems	3	2	2	2017	2004	19
Corporate Complaints	Central Systems	3	2	2	2015		19
Ethics	Central Systems	1	2	4		2016	19
Insurances	Central Systems	3	2	2	2017	2016	19
Land Charges and Searches	Central Systems	3	2	2	2018	2013	19
Officers Expenses	Central Systems	4	2	1	2014		19
Partnerships	Central Systems	2	2	3	2012	2013	19
Safeguarding	Central Systems	2	2	3	2018		19
Taxi and Entertainment Licences	Customer Services	2	2	3	2015	2012	19
Tourist Information Centres	Tourism, Events, Theatres and Sports	4	2	1	2014		19
Newhaven Business Centre	Central Services	3	2	2		2016	19
Devolved Budgets	Central Systems	3	2	2	2018		19
Corporate Strategy/Priorities/Plan	Central Systems	3	3	1	2017		18
IT Policies and Strategy	Computer Audits	3	3	1	2014		18

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APP	Computer Audits	3	3	1	2013		18
Older Persons Strategy	Housing	3	3	1	2012		18
Resident Participation and Involvement	Housing	2	3	2	2011		18
IT Contract	Computer Audits	3	1	2	2017		17
VAT	Central Systems	4	1	1	2017	2005	17
Economic Development	Central Systems	3	2	1	2016	2016	16
Elections and Electoral Register	Central Systems	2	2	2	2012	2016	16
Equalities	Central Systems	1	2	3	2014	2012	16
Personal and Car Loans	Central Systems	2	2	2	2015	2004	16
Printing Services	Central Systems	3	2	1	2015		16
Risk Management/Corporate Governance	Performance and Management	3	2	1	2014		16
Electoral Computer System	Computer Audits	3	1	1	2015		14
BACAS Crematorium System	Computer Audits	3	1	1	2014		14
Destination Management System	Computer Audits	3	1	1	2014		14
Polution Control	Customer Services	1	1	2		2014	11