

Audit and Standards Committee

14 September 2020



Time: 10.00am

PLEASE NOTE: This will be a 'virtual meeting', held remotely in accordance with section 78 of the Coronavirus Act 2020 and section 13 of the related regulations.

Members of the press and public can view the meeting by clicking on the link provided on the agenda page on the Council's website or calling the number provided.

Instructions for members of the committee, officers and other participants to join the meeting have been circulated separately.

Membership:

**Councillor Julian Peterson (Chair); Councillors Stephen Gauntlett (Vice-Chair).
Christine Brett, Roy Burman, Phil Davis, Christine Robinson and Adrian Ross**

Quorum: 4

Published: Friday, 4 September 2020

Agenda

1 Introductions

2 Apologies for absence/declaration of substitute members

3 Declarations of interest

Disclosure by councillors of personal interests in matters on the agenda, the nature of any interest and whether the councillor regards the interest as prejudicial under the terms of the Code of Conduct.

4 Minutes (Pages 5 - 8)

To confirm and sign the minutes of the previous meeting held on 20 January 2020 (attached herewith).

5 Urgent items

Items not on the agenda which the Chair of the meeting is of the opinion should be considered as a matter of urgency by reason of special circumstances as defined in Section 100B(4)(b) of the Local Government Act 1972.

6 Written questions from councillors

To deal with written questions from councillors pursuant to Council Procedure Rule 12.3 (page D8 of the Constitution).

7 Covert Surveillance Policies (Pages 9 - 30)

Report of Assistant Director of Legal and Democratic Services

8 External Audit report 2018/2019

Verbal update by Deloitte

9 Annual Treasury Management report 2019/20 and 2020/21 quarterly monitoring (Pages 31 - 66)

Report of Chief Finance Officer

10 Internal Audit report for the financial year 2019-2020 (Pages 67 - 78)

Report of Chief Internal Auditor

11 Annual Governance statement (Pages 79 - 106)

Report of Chief Internal Auditor

12 Draft internal Audit plan for 2020/21 (Pages 107 - 116)

Report of Chief Internal Auditor

13 Internal Audit and Counter Fraud report for the first quarter of the financial year 2020-2021 to the end of June 2020 (Pages 117 - 126)

Report of Chief Internal Auditor

14 Review of Risk Management (Pages 127 - 152)

Report of Chief Internal Auditor

15 Strategic Risk Register quarterly review (Pages 153 - 168)

Report of Chief Internal Auditor

16 Date of next meeting

To note that the next meeting of the Audit and Standards Committee which is scheduled to commence at 10:00am on Monday, 16 November 2020, will take place in a virtual capacity, via Microsoft Teams, and in accordance with section 78 of the Coronavirus Act 2020 and section 13 of the related regulations.

Information for the public

Accessibility: This agenda and accompanying reports are published on the Council's website in PDF format which means you can use the "read out loud" facility of Adobe Acrobat Reader.

Public participation: Please contact Democratic Services (see end of agenda) for the relevant deadlines for registering to submit a speech on a matter which is listed on the agenda if applicable. Where speeches are normally allowed at a Committee, live public speaking has temporarily been suspended for remote meetings. However, it remains possible to submit speeches which will be read out to the committee by an Officer.

Information for councillors

Disclosure of interests: Members should declare their interest in a matter at the beginning of the meeting.

In the case of a disclosable pecuniary interest (DPI), if the interest is not registered (nor the subject of a pending notification) details of the nature of the interest must be reported to the meeting by the member and subsequently notified in writing to the Monitoring Officer within 28 days.

If a member has a DPI or other prejudicial interest he/she must leave the meeting while the matter is being considered (unless he/she has obtained a dispensation).

Councillor right of address: A member of the Council may submit a question to ask the Chair of a committee or sub-committee on any matter in relation to which the Council has powers or duties or which affect the District and which falls within the terms of reference of that committee or subcommittee.

A member must give notice of the question to the Head of Democratic Services in writing or by electronic mail no later than close of business on the fourth working day before the meeting at which the question is to be asked.

Other participation: Please contact Democratic Services (see end of agenda) for the relevant deadlines for registering to speak on a matter which is listed on the agenda if applicable.

Democratic Services

For any further queries regarding this agenda or notification of apologies please contact Democratic Services.

Email: committees@lewes-eastbourne.gov.uk

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Website: <http://www.lewes-eastbourne.gov.uk/>



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