

APPENDIX B – Quarterly Report on Internal Audit and Counter Fraud Work

POSITION OF AUDITS REQUIRING FOLLOW UP

AUDIT	FINAL DATE		FIRST FOLLOW-UP DATE		SECOND FOLLOW-UP DATE		THIRD FOLLOW-UP DATE		FOURTH FOLLOW UP DATE		FIFTH FOLLOW UP DATE		SIXTH FOLLOW UP
	FINAL DATE	FINAL ASSURANCE LEVEL	FIRST FOLLOW UP DATE	FIRST FOLLOW UP ASSURANCE LEVEL	SECOND FOLLOW UP DATE	SECOND FOLLOW UP ASSURANCE LEVEL	THIRD FOLLOW- UP DATE	THIRD FOLLOW- UP ASSURANCE LEVEL	FOURTH FOLLOW UP DATE	FOURTH FOLLOW UP ASSURANCE LEVEL	FIFTH FOLLOW UP DATE	FIFTH FOLLOW UP ASSURANCE LEVEL	
Business Continuity Plans	Nov 20	Minimal	May 21	Partial	Aug 21	Partial	Dec 21	Partial	May 22	Substantial	Dec 22	Partial	May 23 / July 23 / Jan 24-Substantial
Arrears Collection	Jan 21	Partial	Sep 21	Partial	Jan 22	Partial	May 22	Substantial	Nov 22	Substantial	Jan 24	Substantial	Jun 24
Construction Industry Scheme	Feb 22	Partial	Feb 23	Partial	Aug 23	Substantial	Jan 24	Substantial	Jul 24				
Sovereign Centre	Aug 22	Partial	Jan 24	Substantial									
Casual Workers	Apl 23	Partial	Jan 24	Partial	Apl 24								
Complaints	Aug 23	Partial	Dec 23	Partial	Apl 24								
Managerial Responsibilities Training	Oct 23	Partial	Feb 24	Ongoing									
Grounds Maintenance	Feb 24	Minimal	Jun 24										
Counter Fraud Management	Mar 24	Substantial	Jul 24										

ANNUAL AUDITS

OUTSTANDING RECOMMENDATIONS

AUDIT	TYPE	ASSURANCE LEVEL	High Risk	Medium Risk	Low Risk
Theatres (21/22)	Follow Up	Substantial	2	3	0
Debtors (21/22)	Follow Up	Partial	1	7	0
Main Accounting (21/22)	Follow Up	Partial	2	7	0
Treasury Management (21/22)	Follow Up	Substantial	0	1	1
Cash and Bank (21/22)	Follow Up	Partial	3	1	0